**Indiana University Columbus (IU Columbus)**

**Expectations for Promotion and/or Tenure**

**Division of Education**

**February 2025**

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# **A. Introduction**

Promotion and tenure decisions are critical to the future of IU Columbus and to its faculty individually and collectively. Therefore, it is essential that each candidate for promotion and/or tenure be treated fairly and evaluated using clearly stated criteria.

This document describes specific criteria to be used for promotion and/or tenure evaluations at IU Columbus, while acknowledging the subjective value judgments and flexibility required by the process. Division heads should provide these criteria to each faculty member within one month after initial appointment and should make all necessary efforts to address faculty members’ questions and concerns about the criteria.

These criteria also serve as a basis for annual reviews of faculty, and division heads should provide each faculty member with an unambiguous written assessment of their performance each year. These criteria are also used during the Three-Year Review of tenure-track faculty, which provides a formative assessment (separate from the annual review) of the individual's professional development and prospects for being recommended for tenure at the end of the probationary period.

Regarding promotion, the **Indiana University *Policy ACA-38*** states:

research and creative work, and services which may be *administrative, professional, or public are long-standing University promotion criteria. Promotion considerations must take into account, however, differences in mission between campuses, and between schools within some campuses, as well as the individual’s contribution to the school / campus missions. The relative weight attached to the criteria… should and must vary accordingly. A candidate for promotion [or tenure] should normally excel in at least one of the above categories [research/creative activity, teaching, or service] and be at least satisfactory…) or effective…. in the others. In exceptional cases, a candidate may present evidence of balanced strengths that promise excellent overall performance of comparable benefit to the university. In all cases the candidate’s total record should be assessed by comprehensive and rigorous peer review. Promotion to any rank is a recognition of past achievement and a sign of confidence that the individual is capable of greater responsibilities and accomplishments.*

With regard to tenure, the ***Indiana University Academic Policy ACA-37***states:

*After the appropriate probationary period, tenure shall be granted to those faculty members ... whose professional characteristics indicate that they will continue to serve with distinction in their appointed roles. The criteria for tenure and the criteria for promotion are similar, but not identical.... Tenure will generally not be conferred unless the faculty member... achieves, or gives strong promise of achieving, promotion in rank within the University.*

The main objective of the promotion and tenure process is to retain and reward faculty who are making significant contributions to their programs, divisions, IU Columbus, and the University. Each candidate is to be evaluated with this primary objective in mind, recognizing that there are many ways faculty may contribute.

Peer review is the principle that underlies promotion and tenure decisions, thus these decisions are to be made substantively at the program (primary) level, where the faculty member’s activities are best known and can best be evaluated. Primary level decisions must be made rigorously, and subsequent evaluations will consider whether stated criteria have been satisfied and whether evaluation procedures have been followed satisfactorily. However, regardless of how explicitly criteria for teaching, research, and service are stated, evaluations will involve value judgments which are in part subjective. Evaluators at every level use their experience and judgment to decide whether criteria have been met and exercise flexibility in weighting responsibilities and commitments across areas of faculty work as each candidate’s case requires.

The primary mechanism for evaluation of scholarship, whether in teaching, research, or service is through the dissemination of peer-reviewed works, including articles, books and book chapters, and conference proceedings or papers. Although these criteria provide specific numbers of published works as a general guideline for evidence of excellence, simply counting these products is not adequate; some works are more significant than others and flexibility is needed to address this. It is important to evaluate the intellectual content of the works and their impact or potential impact. Work that breaks new ground is more significant than work that is routine or which simply extends the work of others in a straightforward way. A smaller body of high impact works may be judged a greater contribution than a larger body of lower impact works. In evaluating co-authored work, it is essential that the contribution of the candidate be clearly described.

Below are criteria for promotion and/or tenure for the faculty classifications at IU Columbus. Because dissemination of peer-reviewed work is required for advancement, quantitative guidelines are provided for these requirements. Other items provide further evidence in support of meeting performance levels in the categories of faculty work, and while faculty are NOT required to accomplish all of these additional items, those achieved should be addressed in dossiers and reviews.

# **B. Tenure Track Faculty**

Promotion to associate or full professor requires excellent performance in at least one of the areas of teaching, research, or service, and at least satisfactory performance in the other two areas. Unsatisfactory performance in any area will preclude promotion or award of tenure. In some instances, promotion based on a balanced case or an integrative case may be possible. In the balanced case, a rating of highly satisfactory in each area of faculty work is required. In the integrative case, a rating of satisfactory in each area of faculty work is required, along with an “excellent overall performance of comparable benefit to the university.'

## ***Promotion from Assistant Professor to Associate Professor (with tenure)***

##

### **1. Criteria for Research**

*To receive a rating of excellent performance in research, the candidate must meet criteria A, B, and C.*

1. An active research program leading to a record of peer-reviewed research publications in rank, consisting of papers in reputable journals, scholarly books, book chapters, proceedings, or other equivalent publications. Typically, four or more peer reviewed research publications will support a case for excellence, but quality is more important than quantity and a smaller number of high-quality works may be judged more significant than a larger number of lower quality works. It is important for candidates to provide information that addresses the quality and impact of their scholarship.
2. A record of peer reviewed research presentations at regional, national and/or international conferences while in rank. Typically, four or more peer reviewed research presentations will support a case for excellence, but extra (beyond four) peer reviewed research publications can compensate for fewer peer reviewed research presentations.
3. Some of the following activities. Although any activity may be accomplished more than once, a range of activities will strengthen the case for excellence.
* A record of continued development as an independent researcher
* Research grants
* Proposals for research grants
* Mentoring undergraduate and/or graduate research
* Honors or awards for research
* Citations of research publications
* Invitations to review research-related submissions for professional journals or conferences
* Invitations to serve on editorial boards, etc.
* Invitations to serve as a chair or discussant of a research-paper session at a conference
* Other evidence that a research program has achieved emerging regional or national recognition for its contributions to a field

*To receive a rating of satisfactory performance in research, the candidate must meet criteria A, B, and C.*

1. An active research program resulting in at least one peer reviewed research publication in rank, consisting of articles in reputable journals, scholarly books, book chapters, proceedings, or other equivalent publications.
2. A record of at least two peer reviewed research presentations at regional, national and/or international conferences while in rank.
3. Some of the activities listed in item C for excellence in research.

### **2. Criteria for Teaching**

*To receive a rating of excellent performance in teaching, the candidate must meet criteria A, B, C, and D.*

1. A record of peer-reviewed teaching publications in rank, consisting of papers in reputable journals, scholarly books, book chapters, proceedings, or other equivalent publications. Teaching publications include direct contributions to the scholarship of teaching and learning and other scholarly publications that are demonstrated to enhance or be informed by the candidate’s teaching. Typically, three or more peer reviewed teaching publications will support a case for excellence, but quality is more important than quantity and a smaller number of high-quality works may be judged more significant than a larger number of lower quality works. It is important for candidates to provide information that addresses the quality and impact of their scholarship. Note that faculty making a case for excellence in teaching must have at least one peer reviewed research publication to achieve a satisfactory rating in research.
2. A record of peer reviewed teaching presentations at regional, national and/or international conferences while in rank. Typically, four or more peer reviewed teaching presentations will support a case for excellence, but extra (beyond three) peer reviewed teaching publications can compensate for fewer peer reviewed teaching presentations.
3. Evidence of excellent teaching practice as demonstrated by student evaluations, peer evaluations, and other equivalent measures.
4. Some of the following activities. Although any activity may be accomplished more than once, a range of activities will strengthen the case for excellence.
* Other published materials pertaining to teaching, such as manuals or innovative curricular materials
* A teaching load that contributes significantly to the division’s teaching responsibility to meet student and program needs
* Demonstrated measurable student learning outcomes
* Incorporation of high impact practices in teaching
* Teaching grants
* Proposals for teaching grants
* Honors or awards for teaching
* Significant mentoring of students, including directing student research, internships, etc.
* Effective student advising
* Documented efforts to improve teaching, which may include course or program development or curricular changes, mentoring faculty, and presenting at or attending workshops on teaching
* Serving as a reviewer for scholarship of teaching and learning journals for academic presses which publish work on teaching and learning
* Other evidence that of an emerging regional or national recognition for outstanding teaching practice.

*To receive a rating of satisfactory performance in teaching, the candidate must meet criteria A, B, and C.*

1. Student satisfaction measures that are consistently favorable or have improved over time.
2. A record of continuing peer evaluation that indicates satisfactory teaching.
3. Some of the activities listed in item D for excellence in teaching.

### **3. Criteria for Service**

All faculty have responsibilities for university service. University service supports and develops IU Indianapolis and its schools and units. Most tenure-track faculty also participate in disciplinary service which supports and develops the research and professional goals of their discipline. Faculty members provide service to the University, the profession, and the public, but they typically do not seek promotion from assistant to associate professor based on excellence in service. A possible exception could be made for a faculty member who is assigned a specific, major service activity that persists through all or most of the probationary period. To be the basis for tenure or for advancement in rank, University and professional service must be directly linked to the unit and campus mission; the quality and impact of professional service must be evaluated within this context and must be assessed as academic work characterized by the following:

* command and application of relevant knowledge, skills, and technological expertise;
* contributions to a body of knowledge;
* imagination, creativity, and innovation;
* application of ethical standards;
* achievement of intentional outcomes; and
* evidence of impact.

*To receive a rating of excellent performance in service, the candidate must meet criteria A, B, C, and D.*

A. Responsibility for a major service activity, such as leadership/administrative responsibility for developing a new degree program or a center or institute.

B. A record of peer-reviewed service publications in rank, consisting of papers in reputable journals, scholarly books, book chapters, proceedings, or other equivalent publications. Typically, three or more peer reviewed service publications will support a case for excellence, but quality is more important than quantity and a smaller number of high-quality works may be judged more significant than a larger number of lower quality works. It is important for candidates to provide information that addresses the quality and impact of their scholarship. Note that faculty making a case for excellence in service must have at least one peer reviewed research publication to achieve a satisfactory rating in research.

C. A record of peer reviewed service presentations at regional, national and/or international conferences while in rank. Typically, four or more peer reviewed service presentations will support a case for excellence, but extra (beyond three) peer reviewed service publications can compensate for fewer peer reviewed service presentations.

* Some of the following activities. Although any activity may be accomplished more than once, a range of activities will strengthen the case for excellence. Leadership roles on committees and councils, especially at the campus or University levels, including faculty governance
* A major role in student recruiting, retention, or advising initiatives
* Service to state and national governmental offices or agencies, or other public organizations, which might include grant review
* Awards and honors for service
* Initiative and leadership in public service to the community, and evidence of the influence of these activities on community programs and policies
* Service grants
* Proposals for service grants
* Active service relationships with business and industry, including consulting, economic development, and the initiation and administration of partnerships
* Service to professional societies with leadership roles (such as presidency of professional organizations) at the national level
* Service to an academic discipline, such as the editorship or membership on the editorial board of a professional journal
* Frequent service as a reviewer of manuscripts for professional journals and/or presentations for professional conferences

*To receive a rating of satisfactory performance in service, the candidate must meet criteria A and B.*

1. Consistently performing one’s fair share of service to one’s academic program, division, and campus, typically through active service on committees, task forces, and councils.
2. Any additional activities from the list for excellent performance in service.

### **4. Criteria for Balanced-Binned Case**

To be promoted based on a balanced case, the faculty member must demonstrate highly satisfactory performance in teaching, research, and service. This may be the most subjective evaluation, requiring ratings closer to excellent than to satisfactory.

1. Highly satisfactory in research would typically require three peer-reviewed publications and several other activities and accomplishments from the criteria for excellence in research.
2. Highly satisfactory in teaching would typically require a significant contribution to the teaching mission of the campus as evidenced by consistently strong peer and student evaluations and several other activities and accomplishments from the criteria for excellence in teaching.
3. Highly satisfactory in service would typically require a significant service contribution to the campus or University as evidenced by several activities and accomplishments from the criteria for excellence in service.
4. A list of at least four peer reviewed presentations related to teaching, research, and/or service at regional, national or international conferences while in rank.

**5. Criteria for the Balanced-Integrative Case**

**The Balanced-Integrative Case is a variant of the balanced case:**

The Division of Education adopts the criteria for the Balanced-Integrative Case as stated in the **IU Indianapolis Guidelines for Preparing and Reviewing Promotion and Tenure Dossiers**, 2024-2025 (p. 23):

*In this case type, the candidate’s activities and accomplishments are interrelated around a chosen theme. Individual items need not be labeled or separated as belonging exclusively to teaching, research, or service. However, the candidate should demonstrate how teaching, research, and service are expressed by the items: for example, a particular grant may have both teaching and research aspects, or a publication may advance disciplinary knowledge (research) and but also be a result of collaboration with practitioners (service). Candidates will state their integrative philosophy and show how their most important accomplishments demonstrate peer-evaluated impact and quality.*

* *IU Indianapolis P&T Guidelines name areas with “should have that work acknowledged and rewarded in the review process”:*
	+ *Civic Engagement*
	+ *Diversity, Equity, and Inclusion*
	+ *Public Scholarship*
	+ *Translational Research*

*Balanced-Integrative cases may address one of these values as their organizing theme, but this list is not exhaustive. The strongest cases will be tied to unit missions and goals. Schools and departments may develop templates and expectations for themes particularly relevant to their units.*

* *Top level expectation: The candidate demonstrates excellence across an array of integrated scholarly activities aligned with their theme, consistent with IU policy on balanced cases: “a candidate may present evidence of balanced strengths that promise excellent overall performance of comparable benefit to the university.” (ACA-38 Faculty and Librarian Promotions; “comparable” to a single-area-of-excellence case)*
	+ *To associate: Candidate will have led or been an essential part of endeavors with distinct and demonstrable local outcomes. Local refers to either or both of campus/university and local community. National or international dissemination is also expected as a reflection of the quality of work.*
	+ *To full: The candidate will be seen as a local leader and will also have achieved a national or international reputation through their work.*
* *Balanced Integrative cases will demonstrate that the candidate possesses these characteristics:*
	+ - *Evidence of at least satisfactory performance in teaching, research/creative activity, and service.*
		- *A clearly articulated and defined theme which is reflected in the interrelated activities across teaching, research/creative activity, and service.*
		- *Integrated activity: The candidate has interrelated activities and accomplishments as an IU Indianapolis faculty member in teaching, research, and service which demonstrably support and advance their chosen theme.*
		- *Independence, innovation, and initiative: The candidate articulates their personal role as an essential and generative actor within diversity initiatives. Interdependence and teamwork are valued as well as contributions to group achievements; the candidates need to describe their own roles and responsibilities.*
		- *Scholarly impact[[1]](#footnote-2): Often but not exclusively facilitated by peer-reviewed dissemination[[2]](#footnote-3); a variety of venues for dissemination are accepted.*
		- *Direct impact: Effective evaluation of initiatives should demonstrate distinct outcomes. Tying to unit (program, department, school, campus, or university) missions strengthens the importance of the impact (e.g., contributing to a local community’s using professional expertise, recruiting students to undergraduate or graduate programs, diversifying curricula, etc.).*
		- *A cumulative record that supports an argument for overall excellent contribution to the unit and university, including increasing development over time. A candidate’s statement should describe plans for the future.*

**\*See Appendix A for detailed information regarding integration**

## ***Promotion from Associate Professor to Professor***

### 1. Criteria for Research

*To receive a rating of excellent performance in research, the candidate must meet criteria A, B, and C.*

1. An active research program leading to a record of peer-reviewed research publications in rank, consisting of papers in reputable journals, scholarly books, book chapters, proceedings, or other equivalent publications. Typically, four or more peer reviewed research publications will support a case for excellence, but quality is more important than quantity and a smaller number of high-quality works may be judged more significant than a larger number of lower quality works. It is important for candidates to provide information that addresses the quality and impact of their scholarship.
2. A record of peer reviewed research presentations at regional, national and/or international conferences while in rank. Typically, six or more peer reviewed research presentations will support a case for excellence, but extra (beyond four) peer reviewed research publications can compensate for fewer peer reviewed research presentations.
3. Some of the following activities. Although any activity may be accomplished more than once, a range of activities will strengthen the case for excellence.
* A record of continued development as an independent researcher
* Research grants
* Proposals for research grants
* Mentoring undergraduate and/or graduate research
* Honors or awards for research
* Citations of research publications
* Invitations to review submissions for professional journals or conferences
* Invitations to serve on editorial boards, etc.
* Other evidence that a research program has achieved regional or national recognition for its contributions to a field

*To receive a rating of satisfactory performance in research, the candidate must meet criteria A, B, and C.*

1. An active research program resulting in at least one peer reviewed research publication in rank, consisting of articles in reputable journals, scholarly books, book chapters, proceedings, or other equivalent publications.
2. A record of at least two peer reviewed research presentations at regional, national and/or international conferences while in rank.
3. Some of the activities listed in item C for excellence in research.

### **2. Criteria for Teaching**

*To receive a rating of excellent performance in teaching, the candidate must meet criteria A, B, C, and D*

1. A record of peer-reviewed teaching publications in rank, consisting of papers in reputable journals, scholarly books, book chapters, proceedings, or other equivalent publications. Teaching publications include direct contributions to the scholarship of teaching and learning and other scholarly publications that are demonstrated to enhance or be informed by the candidate’s teaching. Typically, three or more peer reviewed teaching publications will support a case for excellence, but quality is more important than quantity and a smaller number of high-quality works may be judged more significant than a larger number of lower quality works. It is important for candidates to provide information that addresses the quality and impact of their scholarship. Note that faculty making a case for excellence in teaching, must have at least one peer reviewed research publication to achieve a satisfactory rating in research.
2. A record of peer reviewed teaching presentations at regional, national and/or international conferences while in rank. Typically, six or more peer reviewed teaching presentations will support a case for excellence, but extra (beyond three) peer reviewed teaching publications can compensate for fewer peer reviewed teaching presentations.
3. Evidence of excellent teaching practice as demonstrated by student evaluations, peer evaluations, or other equivalent measures.
4. Some of the following activities. Although any activity may be accomplished more than once, a range of activities will strengthen the case for excellence.
* Other published materials pertaining to teaching, such as manuals or innovative curricular materials
* A teaching load that contributes significantly to the division’s teaching responsibility to meet student and program needs
* Demonstrated measurable student learning outcomes
* Incorporation of high impact practices in teaching
* Teaching grants
* Proposals for teaching grants
* Honors or awards for teaching
* Significant mentoring of students, including directing student research, internships, etc.
* Effective student advising
* Documented efforts to improve teaching, which may include course or program development or curricular changes, mentoring faculty, and presenting or attending workshops on teaching.
* Serving as a reviewer for scholarship of teaching and learning journals or academic presses which publish work on teaching and learning
* Other evidence that of a sustained regional or national recognition for outstanding teaching practice

*To receive a rating of satisfactory performance in teaching, the candidate must meet criteria A, B, and C.*

1. Student satisfaction measures that are consistently favorable or have improved over time.
2. A record of continuing peer evaluation that indicates satisfactory teaching.
3. Some of the activities listed in item D for excellence in teaching.

### **3. Criteria for Service**

All faculty have responsibilities for university service. University service supports and develops IU Indianapolis and its schools and units. Most tenure-track faculty also participate in disciplinary service which supports and develops the research and professional goals of their discipline. Faculty members provide service to the University, the profession, and the public, but they typically do not seek promotion from assistant to associate clinical professor based on excellence in service. To be the basis for advancement in rank, University and professional service must be directly linked to the unit and campus mission; the quality and impact of professional service must be evaluated within this context and must be assessed as academic work characterized by the following:

* command and application of relevant knowledge, skills, and technological expertise;
* contributions to a body of knowledge;
* imagination, creativity and innovation;
* application of ethical standards;
* achievement of intentional outcomes; and
* evidence of impact.

*To receive a rating of excellent performance in service, the candidate must meet criteria A, B, C, and D.*

1. Responsibility for a major service activity, such as leadership/administrative responsibility for developing a new degree program or a center or institute.
2. A record of peer-reviewed service publications in rank, consisting of papers in reputable journals, scholarly books, book chapters, proceedings, or other equivalent publications. Typically, three or more peer reviewed service publications will support a case for excellence, but quality is more important than quantity and a smaller number of high-quality works may be judged more significant than a larger number of lower quality works. It is important for candidates to provide information that addresses the quality and impact of their scholarship. Note that faculty making a case for excellence in service must have at least one peer reviewed research publication to achieve a satisfactory rating in research.
3. A record of peer reviewed service presentations at regional, national and/or international conferences while in rank. Typically, six or more peer reviewed service presentations will support a case for excellence, but extra (beyond three) peer reviewed service publications can compensate for fewer peer reviewed service presentations.
4. Some of the following activities. Although any activity may be accomplished more than once, a range of activities will strengthen the case for excellence.
* Leadership roles on committees and councils, especially at the campus or University levels, including faculty governance
* A major role in student recruiting, retention, or advising initiatives
* Service to state and national governmental offices or agencies, or other public organizations, which might include grant review
* Awards and honors for service
* Initiative and leadership in public service to the community, and evidence of the influence of these activities on community programs and policies
* Active service relationships with business and industry, including consulting, economic development, and the initiation and administration of partnerships
* Service grants
* Proposals for service grants
* Service to professional societies with leadership roles (such as presidency of professional organizations) at the national level.
* Service to an academic discipline, such as the editorship or membership on the editorial board of a professional journal
* Frequent service as a reviewer of manuscripts for professional journals and/or presentations for professional conferences

*To receive a rating of satisfactory performance in service, the candidate must meet criteria A and B.*

1. Consistently performing one’s fair share of service to one’s academic program, division, and campus, typically through active service on committees, task forces, and councils.
2. Any additional activities from the list for excellent performance in service.

### **4. Criteria for Balanced-Binned Case**

To be promoted based on a balanced-binned case, the faculty member must demonstrate highly satisfactory performance in teaching, research, and service. This may be the most subjective evaluation, requiring ratings closer to excellent than to satisfactory.

1. Highly satisfactory in research would typically require three peer-reviewed publications and several other activities and accomplishments from the criteria for excellence in research.
2. Highly satisfactory in teaching would typically require a significant contribution to the teaching mission of the campus as evidenced by consistently strong peer and student evaluations and several other activities and accomplishments from the criteria for excellence in teaching.
3. Highly satisfactory in service would typically require a significant service contribution to the campus or University as evidenced by several activities and accomplishments from the criteria for excellence in service.
4. A list of at least four peer reviewed presentations related to teaching, research, and/or service at regional, national or international conferences while in rank.

**5. Criteria for the Balanced-Integrative Case**

The Balanced-Integrative Case is a variant of the balanced case:

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* *IU Indianapolis P&T Guidelines name areas with “should have that work acknowledged and rewarded in the review process”:*
	+ *Civic Engagement*
	+ *Diversity, Equity, and Inclusion*
	+ *Public Scholarship*
	+ *Translational Research*

*Balanced-Integrative cases may address one of these values as their organizing theme, but this list is not exhaustive. The strongest cases will be tied to unit missions and goals. Schools and departments may develop templates and expectations for themes particularly relevant to their units.*

* *Top level expectation: The candidate demonstrates excellence across an array of integrated scholarly activities aligned with their theme, consistent with IU policy on balanced cases: “a candidate may present evidence of balanced strengths that promise excellent overall performance of comparable benefit to the university.” (ACA-38 Faculty and Librarian Promotions; “comparable” to a single-area-of-excellence case)*
	+ *To associate: Candidate will have led or been an essential part of endeavors with distinct and demonstrable local outcomes. Local refers to either or both of campus/university and local community. National or international dissemination is also expected as a reflection of the quality of work.*
	+ *To full: The candidate will be seen as a local leader and will also have achieved a national or international reputation through their work.*
* *Balanced Integrative cases will demonstrate that the candidate possesses these characteristics:*
	+ - *Evidence of at least satisfactory performance in teaching, research/creative activity, and service.*
		- *A clearly articulated and defined theme which is reflected in the interrelated activities across teaching, research/creative activity, and service.*
		- *Integrated activity: The candidate has interrelated activities and accomplishments as an IU Indianapolis faculty member in teaching, research, and service which demonstrably support and advance their chosen theme.*
		- *Independence, innovation, and initiative: The candidate articulates their personal role as an essential and generative actor within diversity initiatives. Interdependence and teamwork are valued as well as contributions to group achievements; the candidates need to describe their own roles and responsibilities.*
		- *Scholarly impact[[3]](#footnote-4): Often but not exclusively facilitated by peer-reviewed dissemination[[4]](#footnote-5); a variety of venues for dissemination are accepted.*
		- *Direct impact: Effective evaluation of initiatives should demonstrate distinct outcomes. Tying to unit (program, department, school, campus, or university) missions strengthens the importance of the impact (e.g., contributing to a local community’s using professional expertise, recruiting students to undergraduate or graduate programs, diversifying curricula, etc.). See Appendix: Quality and Impact.*
		- *A cumulative record that supports an argument for overall excellent contribution to the unit and university, including increasing development over time. A candidate’s statement should describe plans for the future.*

**Clinical Faculty and Lecturers**

Promotion to clinical associate or clinical full professor requires excellent performance in teaching or professional service and at least satisfactory performance in the other area and in university service. In some instances, promotion based on anintegrative case may be possible. Promotion to clinical associate professor is accompanied by awarding of five-year rolling contracts. Promotion from lecturer to senior lecturer or teaching professor requires excellent performance in teaching and satisfactory performance in service. Promotion to senior lecturer is accompanied by awarding of three-year rolling contracts. All assistant clinical faculty and lecturers are strongly encouraged to apply for promotion during or before the sixth year in rank.

During the third year in rank, assistant clinical faculty and lecturers will submit a dossier for review and feedback on their performance as it relates to promotion criteria. After this third-year review, clinical assistant professors and lecturers will be reviewed every five years and provided feedback on their performance as it relates to promotion criteria.

***Promotion from Clinical Assistant Professor to Clinical Associate Professor or Clinical Associate Professor to Clinical Professor***

## **Criteria for Excellence in Teaching or Service**

*To receive a rating of excellent performance in teaching, the candidate must meet criteria A, B, C, and D.*

1. Scholarly activity resulting in publication of at least one peer-reviewed publication in rank, consisting of papers in reputable journals, scholarly books, book chapters, proceedings, or other equivalent publications. This scholarship may be in teaching or an area of disciplinary research, but if the latter, the candidate must describe how that scholarship contributes to his or her excellence as an instructor.
2. A record of peer reviewed teaching presentations at regional, national and/or international conferences while in rank. Typically, four or more peer reviewed teaching presentations will support a case for excellence, but extra peer reviewed teaching publications can compensate for fewer peer reviewed teaching presentations.
3. Evidence of excellent teaching practice as demonstrated by student evaluations, peer evaluations, or other equivalent measures.
4. Some of the following activities. Although any activity may be accomplished more than once, a range of activities will strengthen the case for excellence.
* Other published materials pertaining to teaching, such as manuals or innovative curricular materials
* A teaching load that contributes significantly to the division’s teaching responsibility to meet student and program needs
* Demonstrated measurable student learning outcomes
* Incorporation of high impact practices in teaching
* Teaching grants
* Proposals for teaching grants
* Honors or awards for teaching
* Significant mentoring of students, including directing student research, internships, etc.
* Documented efforts to improve teaching, which may include course or program development or curricular changes, mentoring faculty, and presenting or attending workshops on teaching
* Serving as a reviewer for scholarship of teaching and learning journals or academic presses which publish work on teaching and learning
* Other evidence of an emerging regional or national recognition for outstanding teaching practice

*To receive a rating of satisfactory performance in service, the candidate must meet criteria A and B.*

1. Consistently performing one’s fair share of service to one’s academic program, division, and campus, typically through active service on committees, taskforces, and councils.
2. Any additional activities from the list for excellent performance in service.

*To receive a rating of satisfactory performance in teaching, the candidate must meet criteria A and B.*

1. Evidence of satisfactory teaching practice as demonstrated by student evaluations, peer evaluations, or other equivalent measures.
2. Some of the activities listed in item D for excellence in teaching.

#### **2. Criteria for the Balanced-Binned Case**

To be promoted based on a balanced-binned case, the faculty member must demonstrate highly satisfactory performance in teaching and service. This may be the most subjective evaluation, requiring ratings closer to excellent than to satisfactory.

1. Highly satisfactory in teaching would typically require a significant contribution to the teaching mission of the campus as evidenced by consistently strong peer and student evaluations and several other activities and accomplishments from the criteria for excellence in teaching.
2. Highly satisfactory in service would typically require a significant service contribution to the campus or University as evidenced by several activities and accomplishments from the criteria for excellence in service.
3. Scholarly activity resulting in the publication of at least one peer-reviewed service or teaching publication in rank, consisting of papers in reputable journals, scholarly books, book chapters, proceedings, or other equivalent publications.
4. A list of at least three peer reviewed presentations related to teaching and/or service at regional, national or international conferences while in rank.

#### **3. Criteria for the Balanced-Integrative Case**

The Balanced-Integrative Thematic Case is a variant of the balanced case:

The Division of Education adopts the criteria for the Balanced-Integrative Case as stated in the IU Indianapolis Guidelines for Preparing and Reviewing Promotion and Tenure Dossiers, 2024-2025 (p. 28):

*In a thematic case, a candidate describes a particular theme or overarching emphasis that provides unity and purpose to most activities and accomplishments.*

* + *IU Indianapolis P&T Guidelines name four areas with “should have that work acknowledged and rewarded in the review process”:*
		- *Diversity, Equity, and Inclusion*
		- *Civic Engagement*
		- *Public Scholarship*
		- *Translational Research*
	+ *Balanced-Integrative cases may address one of these values as their theme, but this list is not exhaustive. The strongest cases will be tied to unit missions and goals. Schools and departments may develop templates and expectations for themes particularly relevant to their units.*
	+ *The candidate must demonstrate satisfactory performance in both areas of responsibility: teaching and service. The candidate statement, the CV, and the supporting document establish that the candidate:*
		- *Is a satisfactory teacher. Evidence includes peer evaluations, student evaluation input, and a reflection on professional development in teaching over time.*
		- *Participates in appropriate service to the unit and campus.*
* ***In addition,*** *the candidate demonstrates excellent contributions to the mission of the program, department, school, campus and/or university, which is evident in both teaching and service. (Candidates whose excellence is demonstrated exclusively in teaching or service should use the single-area-of-excellence case.)*
* *All of the following are included:*
	+ *Theme: The candidate articulates an overall organizing principle to their work, including if appropriate any specifically targeted aspect. This theme is a part of, or in addition to, or encompasses, the candidate’s teaching philosophy.*
	+ *Integrated: The candidate has interrelated activities and accomplishments as an IU Indianapolis faculty member in teaching and service which demonstrably support and advance their unit’s mission.*
	+ *Independence, innovation, and initiative: The candidate articulates their personal role as an essential and generative actor within their work initiatives. Interdependence and teamwork are valued as well as contributions to group achievements; the candidate needs to describe their own roles and responsibilities.*
	+ *Peer-reviewed (academic or professional as appropriate) dissemination: for clinical associate professor candidates, dissemination at the local or regional level; for full clinical professor, dissemination at the national or international level.*
	+ *Direct impact: Effective evaluation of candidate’s work demonstrates distinct outcomes. Tying to unit (program, department, school, campus, or university) missions strengthens the importance of the impact.*
	+ *Future plans: Increasing development over time. A candidate’s statement should describe plans for the future. For clinical full professor, sustained excellence over time is expected.*

## ***\*See Appendix A for detailed information regarding integration.***

## **Promotion from Lecturer to Senior Lecturer**

To be promoted from Lecturer to Senior Lecturer, a faculty member in the IU Columbus Division of Education must establish **excellence** in teaching, as well as in at least one of three teaching related domains:

1. course or curricular development,
2. mentoring/advising, and/or
3. service in support of teaching/learning).

Furthermore, the faculty member must establish **satisfactory** in service.

*To establish excellence in teaching, the candidate must meet criteria A* ***or*** *B, as well as C, D,* ***and*** *E.*

1. Scholarly activity resulting in the publication of at least one peer reviewed publication in rank, which could be a paper in a reputable journal, a scholarly book, a book chapter, a conference proceeding, or another equivalent publication. This scholarship may be in teaching or an area of disciplinary research, but if the latter, the candidate must describe how that scholarship contributes to his or her excellence as an instructor.
2. At least one peer reviewed teaching presentation at a state (local or regional), national, and/or international conference.
3. Evidence of excellent teaching practices as demonstrated by documented student learning that may include one or more of the following:
4. Evidence of successfully supporting students with one or more specific course learning outcome(s) through the use of qualitative and/or quantitative evidence.
5. Evidence of using student input to improve curricula and/or instruction.
6. Evidence of using peer evaluations to improve curricula and/or instruction.
7. An informed teaching philosophy that reflects a value for both student-centered practice as well as inviting/using input from students and peers to improve practice and curricula.
8. Some of the following activities. Although any activity may be accomplished more than once, a range of activities will strengthen the case for excellence.
* Other published materials pertaining to teaching, such as manuals or innovative curricular materials
* A teaching load that contributes significantly to the division’s teaching responsibility to meet student and program needs
* Incorporation of high impact practices in teaching
* Teaching grants
* Proposals for teaching grants
* Honors or awards for teaching
* Documented efforts to improve teaching, which may include course or program development or curricular changes, and presenting or attending workshops on teaching
* Serving as a reviewer for scholarship of teaching and learning journals or academic presses which publish work on teaching and learning
* Peer reviews of others’ teaching
* Mentoring of faculty in the area of teaching and learning
* Other evidence of a sustained regional or national reputation for outstanding teaching practice

*Excellence must be established in one of three other domains (course or curricular development, mentoring/advising, or service in support of teaching/learning) by meeting criteria A, B, or C.*

1. To achieve excellence in Course or Curricular Development the candidate must meet both criteria below:
2. Produce effective course and/or curricular products that have a positive and measurable impact on student learning.
3. Show evidence of having disseminated impactful ideas related to course or curricular development locally or internally through administration, mentoring, publication, presentation, or other means.

### ***OR***

1. To achieve excellence in Mentoring and Advising the candidate must meet both criteria below:
2. Produce evidence of mentoring and advising of students that is characterized by a scholarly approach. High accomplishments of students mentored or advised must be consistently linked to the influence of the mentoring/advising and impact must be demonstrated.
3. Document scholarly and reflective approach to mentoring and advising.

***OR***

1. To achieve excellence in Service in Support of Teaching and Learning the candidate must meet all criteria below:
* Conduct peer reviews of others’ teaching
* Mentor faculty in the area of teaching and learning
* Actively participate in teaching-related committee work, faculty learning communities, and/or teaching-related societies or organizations

*To receive a rating of satisfactory performance in service, the candidate must meet criteria A and B.*

1. Consistently performing one’s fair share of service to one’s academic program, division, and campus, typically through active service on committees, taskforces, and councils
2. Any additional activities from the list below:
* Leadership roles on committees and councils, especially at the campus or University levels, including faculty governance
* A major role in student recruiting, retention, or advising initiatives
* Service to state and national governmental offices or agencies, or other public organizations, which might include grant review
* Awards and honors for service
* Initiative and leadership in public service to the community, and evidence of the influence of these activities on community programs and policies
* Service grants
* Proposals for service grants
* Active service relationships with business and industry, including consulting, economic development, and the initiation and administration of partnerships • Service to professional societies with leadership roles (such as presidency of professional organizations) at the national level
* Service to an academic discipline, such as the editorship or membership on the editorial board of a professional journal
* Frequent service as a reviewer of manuscripts for professional journals and/or presentations for professional conferences

## **Promotion from Senior Lecturer to Teaching Professor**

To be promoted from Senior Lecturer to Teaching Professor, a faculty member in the IU Columbus Division of Education must establish **excellence** in teaching, as well as in at least one of three teaching-related domains, sustained over time: a) course or curricular development, b) mentoring/advising, and/or c) service in support of teaching/learning). Furthermore, the faculty member must establish **satisfactory** in service.

*To establish excellence in teaching, the candidate must meet criteria A* ***or*** *B, as well as C, D,* ***and*** *E.*

1. Scholarly activity resulting in the publication of at least one peer reviewed publication in rank, which could be a paper in a reputable journal, a scholarly book, a book chapter, a conference proceeding, or another equivalent publication. This scholarship may be in teaching or an area of disciplinary research, but if the latter, the candidate must describe how that scholarship contributes to his or her excellence as an instructor.
2. At least one peer reviewed teaching presentation at a state (local or regional), national, and/or international conference.
3. Evidence of excellent teaching practices as demonstrated by documented student learning that may include one or more of the following:
4. Evidence of successfully supporting students with one or more specific course learning outcome(s) through the use of qualitative and/or quantitative evidence.
5. Evidence of using student input to improve curricula and/or instruction. Evidence of using peer evaluations to improve curricula and/or instruction.
6. An informed teaching philosophy that reflects a value for both student-centered practice as well as inviting/using input from students and peers to improve practice and curricula.
7. Some of the following activities. Although any activity may be accomplished more than once, a range of activities will strengthen the case for excellence. Other published materials pertaining to teaching, such as manuals or innovative curricular materials
* A teaching load that contributes significantly to the division’s teaching responsibility to meet student and program needs
* Incorporation of high impact practices in teaching
* Teaching grants
* Proposals for teaching grants
* Honors or awards for teaching
* Documented efforts to improve teaching, which may include course or program development or curricular changes, and presenting or attending workshops on teaching
* Serving as a reviewer for scholarship of teaching and learning journals or academic presses which publish work on teaching and learning
* Peer reviews of others’ teaching
* Mentoring of faculty in the area of teaching and learning
* Other evidence of a sustained regional or national reputation for outstanding teaching practice

*Excellence must be established in one of three other domains (course or curricular development, mentoring/advising, or service in support of teaching/learning) by meeting criteria A, B, or C.*

A.To achieve excellence in Course or Curricular Development the candidate must meet both criteria below and each must be sustained over time:

1. Produce effective course and/or curricular products that have a positive and measurable impact on student learning.
2. Show evidence of having disseminated impactful ideas related to course or curricular development locally or internally through administration, mentoring, publication, presentation, or other means.

***OR***

B.To achieve excellence in Mentoring and Advising the candidate must meet both criteria below and each must be sustained over time:

1. Produce evidence of mentoring and advising of students that is characterized by a scholarly approach. High accomplishments of students mentored or advised must be consistently linked to the influence of the mentoring/advising and impact must be demonstrated.
2. Document scholarly and reflective approach to mentoring and advising.

***OR***

1. To achieve excellence in Service in Support of Teaching and Learning the candidate must meet all criteria below and all must be sustained over time:
* Conduct peer reviews of others’ teaching
* Mentor faculty in the area of teaching and learning
* Actively participate in teaching-related committee work, faculty learning communities and/or teaching-related societies or organizations

*All faculty have responsibilities for university service. University service supports and develops IU Indianapolis and its schools and units. To receive a rating of satisfactory performance in service, the candidate must meet criteria A and B.*

1. Consistently performing one’s fair share of service to one’s academic program, division, and campus, typically through active service on committees, taskforces, and councils.
2. Any additional activities from the list below:
* Leadership roles on committees and councils, especially at the campus or University levels, including faculty governance
* A major role in student recruiting, retention, or advising initiatives
* Service to state and national governmental offices or agencies, or other public organizations, which might include grant review
* Awards and honors for service
* Initiative and leadership in public service to the community, and evidence of the influence of these activities on community programs and policies
* Service grants
* Proposals for service grants
* Active service relationships with business and industry, including consulting, economic development, and the initiation and administration of partnerships
* Service to professional societies with leadership roles (such as presidency of professional organizations) at the national level
* Service to an academic discipline, such as the editorship or membership on the editorial board of a professional journal
* Frequent service as a reviewer of manuscripts for professional journals and/or presentations for professional conferences

APPENDIX A

Integration:

* The candidate may discuss their activities and accomplishments without reliance on the categories of ‘teaching’ and “service,” but as parts of the whole.
* Scholarship broadly considered is acceptable as part of the case and should be tied to teaching and service goals.
* It is up to the candidate to articulate the ‘excellence’ of their activities in terms of aggregate innovation, scope, quality, and outcomes. The absolute number of activities will vary from person to person: one might have a variety of smaller- scale items, another person may have a particular large-scale item; one may tackle a small but very difficult problem; another may address a series of important but les challenging areas.

1. In the current guidelines, in the Service area, this is phrased as, the work is *academic in nature*. This is described as “characterized by “command and application of relevant knowledge, skills, and technological expertise; contribution to a body of knowledge; imagination, creativity, and innovation; application of ethical standards; achievement of intentional outcomes; and evidence of impact.” [↑](#footnote-ref-2)
2. Peer-reviewed dissemination is the standard language already used in the IU Indianapolis guidelines, broad enough to cover the wide range of research and creative activities pursued by IU Indianapolis faculty across all schools. [↑](#footnote-ref-3)
3. In the current guidelines, in the Service area, this is phrased as, the work is *academic in nature*. This is described as “characterized by “command and application of relevant knowledge, skills, and technological expertise; contribution to a body of knowledge; imagination, creativity, and innovation; application of ethical standards; achievement of intentional outcomes; and evidence of impact.” [↑](#footnote-ref-4)
4. Peer-reviewed dissemination is the standard language already used in the IU Indianapolis guidelines, broad enough to cover the wide range of research and creative activities pursued by IU Indianapolis faculty across all schools. [↑](#footnote-ref-5)